

2164
72
BT

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D. C. 20231.
Date: March 26, 2003

Maria Zita A. Chua
Maria Zita A. Chua

RECEIVED

APR 02 2003

GROUP 3600

PATENT
401,720-001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: CHIH-WEI HUANG

Serial No.: 09/970,736

Filed: October 3, 2001

Art Unit: 2164

Examiner:

Title: SYSTEM AND METHOD FOR
MEASURING PERFORMANCE OF
TRADING INSTRUMENTS WITHIN A
MARKET

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with 37 C.F.R. § 1.56, Applicant submits herewith the attached Information Disclosure Statement Form PTO-1449 in connection with the above-identified patent application along with a copy of each reference (3). The enclosed references were cited in an International Search Report (copy enclosed) in the co-pending PCT application. The statement is not a representation that all of the information cited is necessarily effective as prior art against the application.

Serial No. 09/970,736

March 26, 2003

Page 2

Applicant respectfully submits that no fee is necessary for the filing of this information disclosure statement, pursuant to 37 C.F.R. § 1.97(b). Should the Commissioner determine otherwise, the Commissioner is authorized to charge Deposit Account No. 50-0639 for any fee shortages.

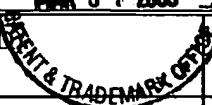
Respectfully submitted,



Brian M. Berliner
Attorney for Applicant
Registration No. 34,549

O'MELVENY & MYERS LLP
400 So. Hope Street
Los Angeles, CA 90071-2899
Telephone: (213) 430-6000

MAR 31 2003



FORM PTO-1449 (MODIFIED)	ATTY. DOCKET NO. 401,720-001	SERIAL NO. 09/970,736
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (USE SEVERAL SHEETS IF NECESSARY)		APPLICANT: Chih-Wei HUANG
		FILING DATE: October 3, 2001
		RECEIVED APR 02 2003 GROUP 3600

Reference Designation U.S. Patents

Examiner Initial	Document Number	Date	Name	Class	Filing Date Subclass if appropriate
	AA 5,946,667	08/31/99	Tull, Jr. et al.		
	AB 6,192,347	02/20/01	Graff		
	AC 5,887,243	03/23/99	Harvey et al.		
AD					
AE					
AF					
AG					
AH					
AI					
AJ					
AK					
AL					

FOREIGN PATENT DOCUMENTS

Document Number	Date	Country	Class	Filing Date Subclass if appropriate

OTHER ART (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

AR
AS
AT

EXAMINER DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.